

Report to: Audit Committee

Date of meeting: 8 January 2014

Report of: Alan Power - Head of Finance Shared Services

Title: Internal Audit Progress Report

1.0 SUMMARY

1.1 This report gives details of the progress made in implementing the recommendations of the internal auditor.

2.0 RECOMMENDATIONS

2.1 That progress in completion of the audit plans is noted.

2.2 That progress in implementing the internal auditor's recommendations is noted.

Contact Officer:

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Report approved by: Alan Power, Head of Finance

3.0 DETAILS

3.1 The Shared Internal Audit Service (SIAS) latest Progress Report is attached at Appendix 1.

3.2 Details of progress against the Internal Audit Plans for 2013/14 are attached at Appendix 2.

3.3 Appendix 3 provides information on recommendations which remain outstanding from audits carried out in 2010/11, 2011/12, 2012/13 and 2013/14 and detail only those recommendations which were not resolved at the time of the last report together with new audit reports issued since that time. New reports and new comments are shown in bold italics.

3.4 There are eleven new requests for extensions to time for to complete the implementation of recommendations. The Audit Committee at its September 2013 meeting agreed nineteen such requests and the 'Not Yet Due' column below has been amended.

3.5 The table below summarises progress in implementation of the recommendations:

Year	Recommendations made No.	Implemented	Not yet due	Outstanding & Request made for Extended Time	Percentage implemented %
2010/11	213	209	4	0	98
2011/12	114	110	4	0	96
2012/13	49	33	5	11	67
2013/14	17	8	9	0	47

4.0 **IMPLICATIONS**

4.1 **Financial**

4.1.1 None Specific.

4.2 **Legal Issues** (Monitoring Officer)

4.2.1 None Specific.

4.3 **Equalities**

None Specific.

4.4 **Potential Risks**

There are no risks associated with the decisions members are being asked to make.

APPENDICES

- Appendix 1 Shared Internal Audit Service Progress Report
- Appendix 2 Progress against the 2013/14 Audit Plan
- Appendix 3 Progress on Recommendations